

Fill in this information to identify the case:Debtor Name Alan GompertsUnited States Bankruptcy Court for the: Central District of California
(State)Case number: 2:24-bk-12074-VZ

Official Form 426

**Periodic Report Regarding Value, Operations, and Profitability of Entities
in Which the Debtor's Estate Holds a Substantial or Controlling Interest**

12/17

This is the *Periodic Report* as of Sept. 30, 2024 on the value, operations, and profitability of those entities in which a Debtor holds, or two or more Debtors collectively hold, a substantial or controlling interest (a "Controlled Non-Debtor Entity"), as required by Bankruptcy Rule 2015.3. For purposes of this form, "Debtor" shall include the estate of such Debtor.

[Name of Debtor] holds a substantial or controlling interest in the following entities:

Name of Controlled Non-Debtor Entity	Interest of the Debtor	Tab #
Sienna Rose Inc.	25%	

This *Periodic Report* contains separate reports (*Entity Reports*) on the value, operations, and profitability of each Controlled Non-Debtor Entity.

Each *Entity Report* consists of five exhibits.

Exhibit A contains the most recently available: balance sheet, statement of income (*loss*), statement of cash flows, and a statement of changes in shareholders' or partners' equity (*deficit*) for the period covered by the *Entity Report*, along with summarized footnotes.

Exhibit B describes the Controlled Non-Debtor Entity's business operations.

Exhibit C describes claims between the Controlled Non-Debtor Entity and any other Controlled Non-Debtor Entity.

Exhibit D describes how federal, state or local taxes, and any tax attributes, refunds, or other benefits, have been allocated between or among the Controlled Non-Debtor Entity and any Debtor or any other Controlled Non-Debtor Entity and includes a copy of each tax sharing or tax allocation agreement to which the Controlled Non-Debtor Entity is a party with any other Controlled Non-Debtor Entity.

Exhibit E describes any payment, by the Controlled Non-Debtor Entity, of any claims, administrative expenses or professional fees that have been or could be asserted against any Debtor, or the incurrence of any obligation to make such payments, together with the reason for the entity's payment thereof or incurrence of any obligation with respect thereto.

This *Periodic Report* must be signed by a representative of the trustee or debtor in possession.

Debtor Name Alan Gomperts

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The undersigned, having reviewed the *Entity Reports* for each Controlled Non-Debtor Entity, and being familiar with the Debtor's financial affairs, verifies under the penalty of perjury that to the best of his or her knowledge, (i) this *Periodic Report* and the attached *Entity Reports* are complete, accurate, and truthful to the best of his or her knowledge, and (ii) the Debtor did not cause the creation of any entity with actual deliberate intent to evade the requirements of Bankruptcy Rule 2015.3

For non-individual
Debtors:

x

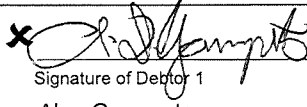
Signature of Authorized Individual

Printed name of Authorized Individual

Date _____
MM / DD / YYYY

For individual Debtors:

x



Signature of Debtor 1

Alan Gomperts

Printed name of Debtor 1

Date 10/09/2024

MM / DD / YYYY

x

Signature of Debtor 2

Printed name of Debtor 2

Date _____
MM / DD / YYYY

Debtor Name Alan Gomperts

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Exhibit A: Financial Statements for Sienna Rose Inc. for period ending September 30, 2024

See attached Exhibit A, prepared by Alan Gomperts upon review of the Debtor's books and records.

Debtor Name Alan Gomperts

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Exhibit A-1: Balance Sheet for Sienna Rose Inc. for period ending September 30, 2024

See attached Exhibit A, prepared by Alan Gomperts upon review of the Debtor's books and records.

Debtor Name Alan Gomperts

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Exhibit A-2: Statement of Income (*Loss*) for Sienna Rose Inc. for period ending September 30, 2024

See attached Exhibit A, prepared by Alan Gomperts upon review of the Debtor's books and records.

Debtor Name Alan Gomperts

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Exhibit A-3: Statement of Cash Flows for Sienna Rose Inc. for period ending September 30, 2024

See attached Exhibit A, prepared by Alan Gomperts upon review of the Debtor's books and records.

EXHIBIT A

Sienna Rose, Inc.
Preliminary Balance Sheet
As of September 30, 2024

	<u>Sep 30, 24</u>
ASSETS	
Current Assets	
Checking/Savings	
Checking	-453.65
Total Checking/Savings	<u>-453.65</u>
Other Current Assets	
Inventory	97,538.17
Total Other Current Assets	<u>97,538.17</u>
Total Current Assets	97,084.52
Other Assets	
Due to Affiliates	-2,036.10
Total Other Assets	<u>-2,036.10</u>
TOTAL ASSETS	<u>95,048.42</u>
LIABILITIES & EQUITY	
Liabilities	
Long Term Liabilities	
Officer Loan Payable	4,000.00
Total Long Term Liabilities	<u>4,000.00</u>
Total Liabilities	4,000.00
Equity	
Additional Paid in Capital	1,343,010.84
Capital Stock	10,000.00
Retained Earnings	-1,257,755.85
Net Income	-4,206.57
Total Equity	<u>91,048.42</u>
TOTAL LIABILITIES & EQUITY	<u>95,048.42</u>

Sienna Rose, Inc.
Preliminary Profit & Loss
April through September 2024

	Apr - Sep 24
Net Income	<u><u>0.00</u></u>

Sienna Rose, Inc.

Preliminary Statement of Cash Flows

April through September 2024

	Apr - Sep 24
Cash at beginning of period	-453.65
Cash at end of period	-453.65

Sienna Rose, Inc.
Preliminary Balance Sheet
As of March 31, 2024

	Mar 31, 24
ASSETS	
Current Assets	
Checking/Savings	
Checking	-453.65
Total Checking/Savings	-453.65
Other Current Assets	
Inventory	97,538.17
Total Other Current Assets	97,538.17
Total Current Assets	97,084.52
Other Assets	
Due to Affiliates	-2,036.10
Total Other Assets	-2,036.10
TOTAL ASSETS	95,048.42
LIABILITIES & EQUITY	
Liabilities	
Long Term Liabilities	
Officer Loan Payable	4,000.00
Total Long Term Liabilities	4,000.00
Total Liabilities	4,000.00
Equity	
Additional Paid in Capital	1,343,010.84
Capital Stock	10,000.00
Retained Earnings	-1,257,755.85
Net Income	-4,206.57
Total Equity	91,048.42
TOTAL LIABILITIES & EQUITY	95,048.42

Sienna Rose, Inc.
Preliminary Profit & Loss
January through March 2024

	Jan - Mar 24
Ordinary Income/Expense	
Expense	
Selling, General and Admin Expenses	4,206.57
Net Ordinary Income	-4,206.57
Net Income	-4,206.57

Sienna Rose, Inc.

Preliminary Statement of Cash Flows

January through March 2024

	<u>Jan - Mar 24</u>
OPERATING ACTIVITIES	
Net Income	-4,206.57
Adjustments to reconcile Net Income to net cash provided by operations:	
 Net cash provided by Operating Activities	 -4,206.57
INVESTING ACTIVITIES	
Due to affiliates	-441.00
Net cash provided by Investing Activities	-441.00
FINANCING ACTIVITIES	
Officer Loan Payable	4,000.00
Net cash provided by Financing Activities	4,000.00
Net cash increase for period	-647.57
Cash at beginning of period	193.92
Cash at end of period	<u>-453.65</u>

Sienna Rose, Inc.
Preliminary Balance Sheet
As of December 31, 2023

	Dec 31, 23
ASSETS	
Current Assets	
Checking/Savings	
Checking	193.92
Total Checking/Savings	193.92
Other Current Assets	
Inventory	97,538.17
Total Other Current Assets	97,538.17
Total Current Assets	97,732.09
Other Assets	
Due to Affiliates	-2,477.10
Total Other Assets	-2,477.10
TOTAL ASSETS	95,254.99
LIABILITIES & EQUITY	
Equity	
Additional Paid in Capital	1,343,010.84
Capital Stock	10,000.00
Retained Earnings	-784,308.18
Net Income	-473,447.67
Total Equity	95,254.99
TOTAL LIABILITIES & EQUITY	95,254.99

Sienna Rose, Inc.
Preliminary Profit & Loss
January through December 2023

	<u>Jan - Dec 23</u>
Ordinary Income/Expense	
Income	
Sales	
Wholesale	889.26
Total Sales	<u>889.26</u>
Total Income	889.26
Cost of Goods Sold	
Cost of Goods Sold	400.00
Total COGS	<u>400.00</u>
Gross Profit	489.26
Expense	
Selling, General & Admin	39,516.79
Total Expense	<u>39,516.79</u>
Net Ordinary Income	-39,027.53
Other Income/Expense	
Other Income	
Other Income	0.00
Total Other Income	0.00
Other Expense	
Loss on Disposition of Asset	434,420.14
Total Other Expense	<u>434,420.14</u>
Net Other Income	-434,420.14
Net Income	<u><u>-473,447.67</u></u>

Sienna Rose, Inc.

Preliminary Statement of Cash Flows

January through December 2023

	<u>Jan - Dec 23</u>
OPERATING ACTIVITIES	
Net Income	-473,447.67
Adjustments to reconcile Net Income to net cash provided by operations:	
Inventory	400.00
Net cash provided by Operating Activities	-473,047.67
INVESTING ACTIVITIES	
Net cash provided by Investing Activities	449,580.14
FINANCING ACTIVITIES	
Non Cash Loan Adjustments	22,477.00
Net cash provided by Financing Activities	22,477.00
Net cash increase for period	-990.53
Cash at beginning of period	1,184.45
Cash at end of period	<u><u>193.92</u></u>

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**Exhibit A-4: Statement of Changes in Shareholders'/Partners' Equity (*Deficit*) for Sienna Rose Inc.
for period ending September 30, 2024**

Upon review of the Debtor's books and records, no changes in equity of Sienna Rose Inc.

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Exhibit B: Description of Operations for Sienna Rose Inc.

Sienna Rose Inc. is a Wholesale Women's clothing company.

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Exhibit C: Description of Intercompany Claims

Upon review of the books and records, there are no intercompany claims.

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Exhibit D: Allocation of Tax Liabilities and Assets

Upon review of the books and records, no allocation of tax liabilities between companies.

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**Exhibit E: Description of Controlled Non-Debtor Entity's payments of Administrative Expenses, or Professional Fees
otherwise payable by a Debtor**

Upon review of the books and records, none.

PROOF OF SERVICE OF DOCUMENT

I am over the age of 18 and not a party to this bankruptcy case or adversary proceeding. My business address is:
Saul Ewing LLP, 1888 Century Park East, Suite 1500, Los Angeles, CA 90067

A true and correct copy of the foregoing document entitled (*specify*): **Periodic Report Regarding Value, Operations, and Profitability of Entities in Which the Debtor's Estate Holds a Substantial or Controlling Interest** will be served or was served **(a)** on the judge in chambers in the form and manner required by LBR 5005-2(d); and **(b)** in the manner stated below:

1. TO BE SERVED BY THE COURT VIA NOTICE OF ELECTRONIC FILING (NEF): Pursuant to controlling General Orders and LBR, the foregoing document will be served by the court via NEF and hyperlink to the document. On (*date*) **October 10, 2024**, I checked the CM/ECF docket for this bankruptcy case or adversary proceeding and determined that the following persons are on the Electronic Mail Notice List to receive NEF transmission at the email addresses stated below:

☒ Service information continued on attached page

2. SERVED BY UNITED STATES MAIL:

On (*date*) _____, I served the following persons and/or entities at the last known addresses in this bankruptcy case or adversary proceeding by placing a true and correct copy thereof in a sealed envelope in the United States mail, first class, postage prepaid, and addressed as follows. Listing the judge here constitutes a declaration that mailing to the judge will be completed no later than 24 hours after the document is filed.

☐ Service information continued on attached page

3. SERVED BY PERSONAL DELIVERY, OVERNIGHT MAIL, FACSIMILE TRANSMISSION OR EMAIL (*state method for each person or entity served*): Pursuant to F.R.Civ.P. 5 and/or controlling LBR, on (*date*) _____, I served the following persons and/or entities by personal delivery, overnight mail service, or (for those who consented in writing to such service method), by facsimile transmission and/or email as follows. Listing the judge here constitutes a declaration that personal delivery on, or overnight mail to, the judge will be completed no later than 24 hours after the document is filed.

☐ Service information continued on attached page

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct.

October 10, 2024

Date

Hannah Richmond

Printed Name

/s/ Hannah Richmond

Signature

1. TO BE SERVED BY THE COURT VIA NOTICE OF ELECTRONIC FILING (NEF):

- **Scott R Albrecht** salbrecht@gsaattorneys.com, jackie.nguyen@sgsattorneys.com
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